

Diagonal Harmonics and Shuffle Theorems

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on joint work with Jonah Blasiak, Mark Haiman, Jennifer Morse, and Anna Pun
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Outline

- Symmetric polynomials and diagonal harmonics
- The Shuffle Theorem and its generalizations
- Proof techniques and new progress

Symmetric Polynomials

- Polynomials $f \in \mathbb{Q}(q, t)[x_1, \dots, x_n]$ satisfying $\sigma.f = f$ for all $\sigma \in S_n$.

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Generators

$$e_r = \sum_{i_1 < i_2 < \dots < i_r} x_{i_1} x_{i_2} \cdots x_{i_r} \text{ or } h_r = \sum_{i_1 \leq i_2 \leq \dots \leq i_r} x_{i_1} x_{i_2} \cdots x_{i_r}$$

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- E.g. for $n = 3$,

$$e_1 = x_1 + x_2 + x_3 = h_1$$

$$e_2 = x_1 x_2 + x_1 x_3 + x_2 x_3 \quad h_2 = x_1^2 + x_1 x_2 + x_1 x_3 + x_2^2 + x_2 x_3 + x_3^2$$

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Schur Polynomials

Distinguished basis of Schur polynomials

$$s_\mu(x_1, \dots, x_l) = \sum_{w \in S_l} w \left(\frac{x_1^{\mu_1} \cdots x_l^{\mu_l}}{\prod_{i < j} (1 - x_j/x_i)} \right)$$

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Hidden Guide: Schur Positivity

“Naturally occurring” symmetric functions which are non-negative (coefficients in $\mathbb{N}[q, t]$) linear combinations in Schur polynomial basis are interesting since they could have representation-theoretic models.

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$$\begin{aligned} M &= \text{sp} \left\{ \left(\partial_{x_1}^a \partial_{x_2}^b \partial_{x_3}^c \right) \Delta \mid a, b, c \geq 0 \right\} \\ &= \text{sp} \{ \Delta, 2x_1(x_2 - x_3) - x_2^2 + x_3^2, 2x_2(x_3 - x_1) - x_3^2 + x_1^2, \\ &\quad x_3 - x_1, x_2 - x_3, 1 \} \end{aligned}$$

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Remark: $M \cong \mathbb{C}[x_1, x_2, x_3]/(\mathbb{C}[x_1, x_2, x_3]_+^{S_3})$.

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Solution: irreducible S_n -representation of polynomials of degree $d \mapsto q^d s_\lambda$ (graded Frobenius)

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Answer: Hall-Littlewood polynomial $H_{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}}(X; q)$.



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- Does there exist a family of S_n -regular representations whose bigraded Frobenius characteristics equal $\tilde{H}_\lambda(X; q, t)$?

Garsia-Haiman modules

- $\mathbb{Q}[x_1, \dots, x_n, y_1, \dots, y_n]$ satisfying $\sigma(x_i) = x_{\sigma(i)}$, $\sigma(y_j) = y_{\sigma(j)}$.

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Garsia-Haiman modules

Theorem (Haiman, 2001)

The Garsia-Haiman module M_λ has bigraded Frobenius characteristic given by $\tilde{H}_\lambda(X; q, t)$

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- No combinatorial description of $\tilde{K}_{\lambda\mu}(q, t)$.

Garsia-Haiman modules

Observation

All of these Garsia-Haiman modules are contained in the module of diagonal harmonics:

$$DH_n = \text{sp}\{f \in \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \mid \left(\sum_{j=1}^n \partial_{x_j}^r \partial_{y_j}^s \right) f = 0, \forall r + s > 0\}$$

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Question

What symmetric function is the bigraded Frobenius characteristic of DH_n ?

$$\nabla e_n$$

Frobenius characteristic of DH_3

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Frobenius characteristic of DH_3

$$= \frac{t^3 \tilde{H}_{1,1,1}}{-qt^2 + t^3 + q^2 - qt} - \frac{(q^2 t + qt^2 + qt) \tilde{H}_{2,1}}{-q^2 t^2 + q^3 + t^3 - qt} - \frac{q^3 \tilde{H}_3}{-q^3 + q^2 t + qt - t^2}$$

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$$e_3 = \frac{\tilde{H}_{1,1,1}}{-qt^2+t^3+q^2-qt} - \frac{(q+t+1) \tilde{H}_{2,1}}{-q^2 t^2 + q^3 + t^3 - qt} - \frac{\tilde{H}_3}{-q^3 + q^2 t + qt - t^2}$$

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Operator ∇

$$\nabla \tilde{H}_\lambda(X; q, t) = q^{n(\lambda)} t^{n(\lambda')} \tilde{H}_\lambda(X; q, t)$$

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Theorem (Haiman, 2002)

The bigraded Frobenius characteristic of DH_n is given by ∇e_n .

Shuffle Theorem

Theorem (Carlsson-Mellit, 2018)

$$\nabla e_k(X) = \sum_{\lambda} t^{\text{area}(\lambda)} q^{\text{dinv}(\lambda)} \omega \mathcal{G}_{\nu(\lambda)}(X; q^{-1})$$

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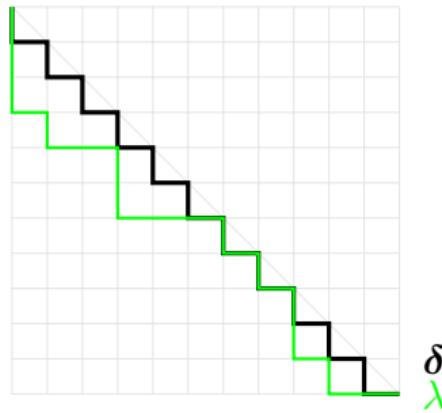
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Dyck paths

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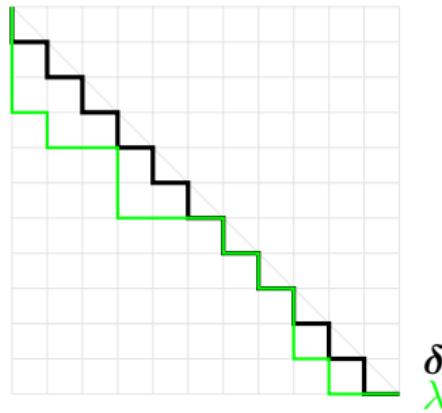
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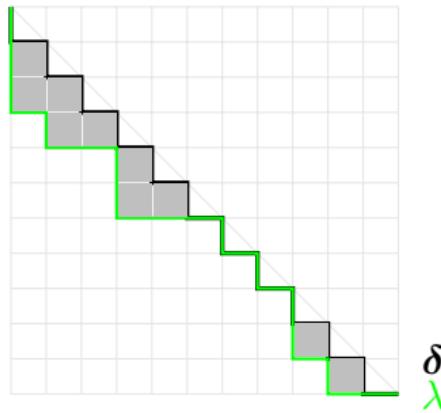


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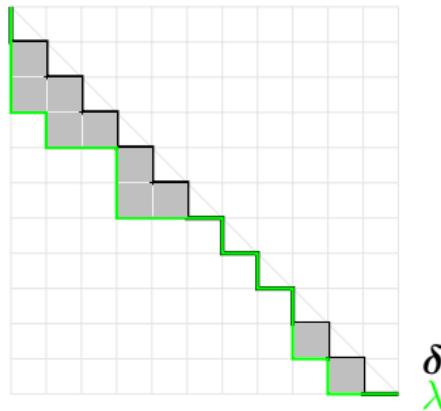


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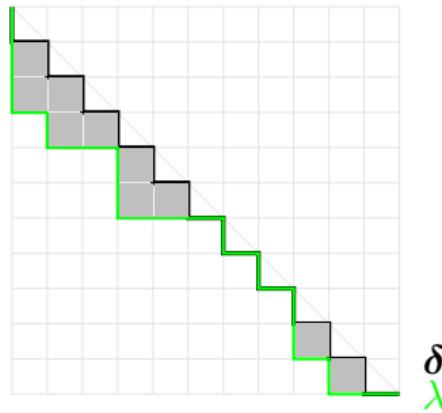


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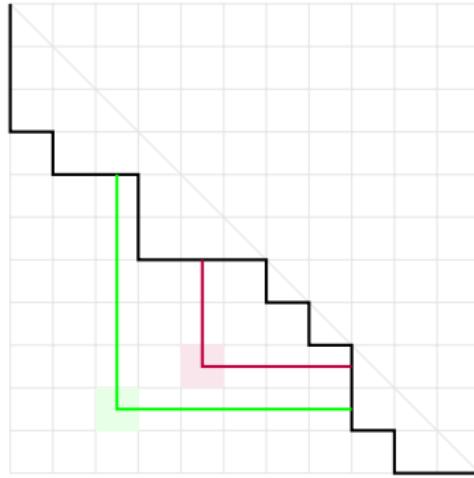
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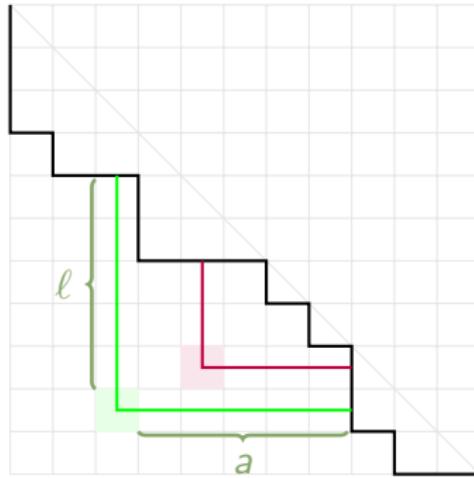
dinv

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Balanced hook is given by a cell below λ satisfying

$$\frac{\ell}{a+1} < 1 - \epsilon < \frac{\ell+1}{a}, \quad \epsilon \text{ small.}$$

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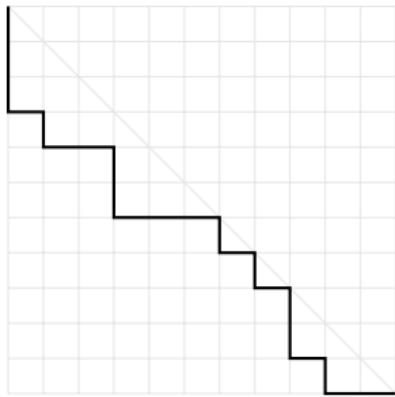
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- \mathcal{G}_ν is Schur-positive for any tuple of skew shapes ν [Grojnowski-Haiman, 2007].

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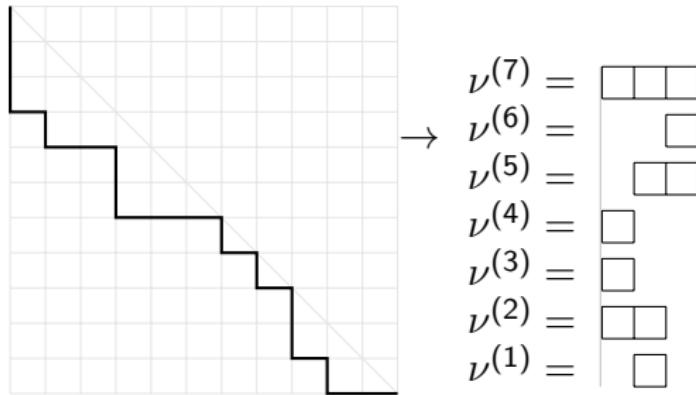
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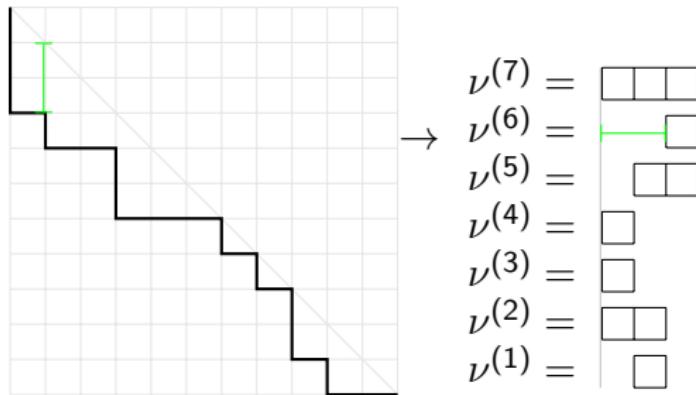
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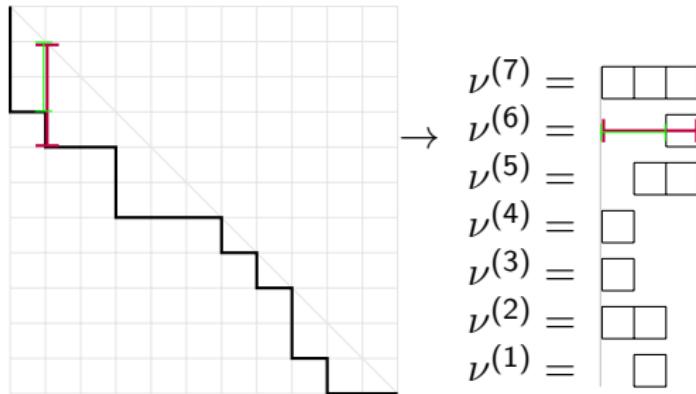
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for T a weakly increasing filling of rows and $i(T)$ the number of attacking inversions:

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1	2	3	3	5
---	---	---	---	---

2	4	4	7	8	9	9
---	---	---	---	---	---	---

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$$\mathcal{G}_{\square\square}(x_1, x_2; q) = x_1^3 + x_1^2 x_2 + x_1 x_2^2 + x_2^3 + q x_1^2 x_2 + q x_1 x_2^2$$



1	1	1	2	1	2	2	2	1	1	2	2
1	1	1	2	2	2	1	2	1	2	1	1

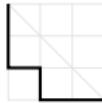
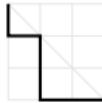
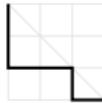
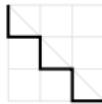
$$= s_3 + q s_{2,1}$$

Example ∇e_3

$$\lambda \quad q^{\text{dinv}(\lambda)} t^{\text{area}(\lambda)} \quad q^{\text{dinv}(\lambda)} t^{\text{area}(\lambda)} \mathcal{G}_{\nu(\lambda)}(X; q^{-1})$$

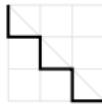
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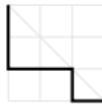


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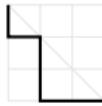
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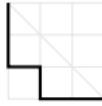
$$q^3$$



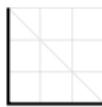
$$q^2 t$$



$$q t$$



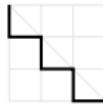
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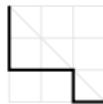
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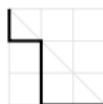
$$q^3$$

$$s_3 + qs_{2,1} + q^2 s_{2,1} + q^3 s_{1,1,1}$$



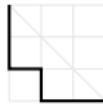
$$q^2 t$$

$$qts_{2,1} + q^2 ts_{1,1,1}$$



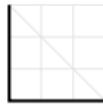
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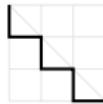


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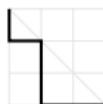
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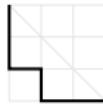
$$q^2 t$$

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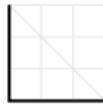
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$$t^2 s_{2,1} + qt^2 s_{1,1,1}$$



$$t^3$$

$$t^3 s_{1,1,1}$$

- Entire quantity is q, t -symmetric

Example ∇e_3

λ	$q^{\text{dinv}(\lambda)} t^{\text{area}(\lambda)}$	$q^{\text{dinv}(\lambda)} t^{\text{area}(\lambda)} \mathcal{G}_{\nu(\lambda)}(X; q^{-1})$
A Young diagram consisting of three boxes in the first row and one box in the second row.	q^3	$s_3 + qs_{2,1} + q^2 s_{2,1} + q^3 s_{1,1,1}$
A Young diagram consisting of two boxes in the first row, two boxes in the second row, and one box in the third row.	$q^2 t$	$qts_{2,1} + q^2 ts_{1,1,1}$
A Young diagram consisting of two boxes in the first row, one box in the second row, and three boxes in the third row.	qt	$ts_{2,1} + qts_{1,1,1}$
A Young diagram consisting of five boxes in a single row.	qt^2	$t^2 s_{2,1} + qt^2 s_{1,1,1}$
A Young diagram consisting of three boxes in a single row.	t^3	$t^3 s_{1,1,1}$

- Entire quantity is q, t -symmetric
- Coefficient of $s_{1,1,1}$ in sum is a “ (q, t) -Catalan number”
 $(q^3 + q^2 t + qt + qt^2 + t^3)$.

Outline

- Symmetric polynomials and diagonal harmonics
- **The Shuffle Theorem and its generalizations**
- Proof techniques and new progress

Schiffmann's Elliptic Hall Algebra \mathcal{E}

For an abelian category \mathcal{A} , the *Hall algebra* of \mathcal{A} has basis $\{[A]\}_{A \in ob(\mathcal{A})}$ and product

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- Burban and Schiffmann studied a subalgebra \mathcal{E} of the Hall algebra of coherent sheaves on an elliptic curve over \mathbb{F}_p
- \mathcal{E} contains, for every coprime $m, n \in \mathbb{Z}$, subalgebra $\Lambda(X^{m,n}) \cong \Lambda$, with relations between them. (Burban-Schiffmann, 2012)

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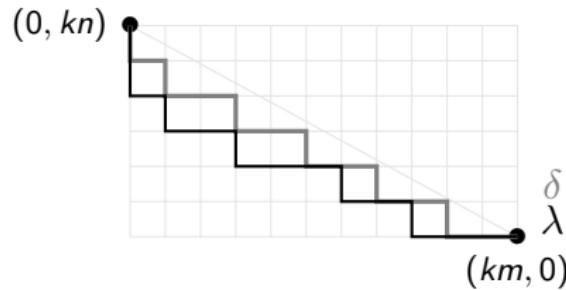
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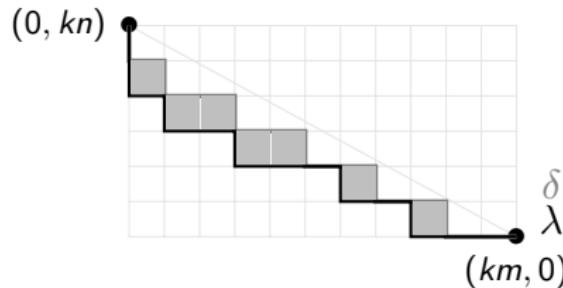
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Rational Path Combinatorics

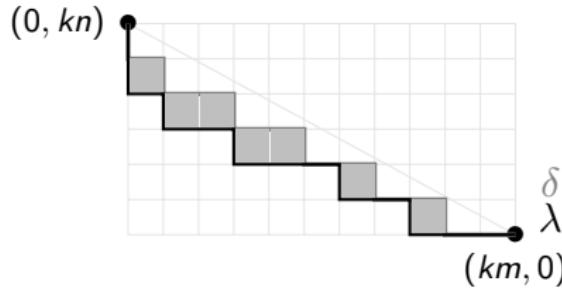


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$$\frac{\ell}{a+1} < p < \frac{\ell+1}{a} \quad p = \frac{n}{m} - \epsilon$$

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For $\mathbf{b} \in \mathbb{Z}^I$, special elements $D_{\mathbf{b}} \in \mathcal{E}$ generalizing $e_k[-MX^{m,n}]$.

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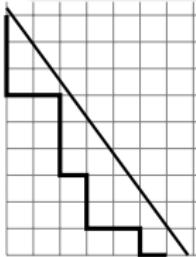
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- Symmetric polynomials and The Shuffle Theorem
- Generalizations of The Shuffle Theorem
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Proof Idea

Key Relationship

$$\omega(D_{\mathbf{b}} \cdot 1)(x_1, \dots, x_l) = \left(\sum_{w \in S_l} w \left(\frac{x_1^{b_1} \cdots x_l^{b_l} \prod_{i+1 < j} (1 - qtx_i/x_{i+1})}{\prod_{i < j} ((1 - \frac{x_j}{x_i})(1 - q \frac{x_i}{x_j})(1 - t \frac{x_i}{x_j}))} \right) \right)_{\text{pol}}$$

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- Need an “infinite series” version of LLT polynomials!

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Let $H_q(f) = \sigma\left(\frac{f}{\prod_{i < j}(1 - qx_i/x_j)}\right)$.

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Extended Delta Theorem (Blasiak-Haiman-Morse-Pun-S., 2021b)

$$\Delta_{h_r} \Delta'_{e_{n-1}} e_k = \langle z^n \rangle \sum_{\lambda, P} q^{\text{dinv}(P)} t^{\text{area}(\lambda)} x^P \prod_{r_i(\lambda)=r_{i-1}(\lambda)+1} (1 + zt^{-r_i(\lambda)}).$$

Generalizations

Same paradigm works to show the following formula.

- $B_\mu = \sum_{(a,b) \in \mu} q^{a-1} t^{b-1}$, e.g., $\mu = \begin{array}{|c|c|c|}\hline 11 & 12 & 13 \\ \hline 21 & 22 \\ \hline\end{array} \rightarrow B_\mu = 1 + q + q^2 + t + qt$
- $\Delta_f \tilde{H}_\mu = f[B_\mu] \tilde{H}_\mu$
- $\Delta'_f \tilde{H}_\mu = f[B_\mu - 1] \tilde{H}_\mu$

Extended Delta Theorem (Blasiak-Haiman-Morse-Pun-S., 2021b)

$$\Delta_{h_r} \Delta'_{e_{n-1}} e_k = \langle z^n \rangle \sum_{\lambda, P} q^{\text{dinv}(P)} t^{\text{area}(\lambda)} x^P \prod_{r_i(\lambda) = r_{i-1}(\lambda) + 1} (1 + zt^{-r_i(\lambda)}).$$

- $\Delta_{h_r} \Delta'_{e_{n-1}} e_k = \sum_{\substack{s \in \mathbb{N}^{k+r}: |s|=n-k \\ 1 \in J \subseteq [k+r], |J|=k}} (D_{s+\epsilon_J} \cdot 1)$

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$D_{\mathbf{b}}$ defined for any $\mathbf{b} \in \mathbb{Z}^I$. When is $D_{\mathbf{b}} \cdot 1$ nice?

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Convex Curve Conjecture (Blasiak-Haiman-Morse-Pun-S., 2021a)

For $\mathbf{b} = (b_1, \dots, b_I)$ the south steps of highest path under a convex curve, the Schur expansion of $D_{\mathbf{b}} \cdot 1$ has coefficients in $\mathbb{N}[q, t]$.

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- Experimental computation suggests this is “tight.”
- Coefficient of $s_{1,\dots,1}$ coincides with (q, t) -polynomials found in (Gorsky-Hawkes-Schilling-Rainbolt, 2020), (Galashin-Lam, 2021).

Future Directions

Loehr-Warrington Conjecture (2008)

$$\nabla s_\mu = \text{sgn}(\mu) \sum_{(G,R) \in LNDP_\mu} t^{\text{area}(G,R)} q^{\text{dinv}(G,R)} x^R$$

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- What are the Schur expansion coefficients of $D_b \cdot 1$?
- What other rational functions give nice representatives in the Shuffle Algebra? (Catalan animals)
- S_I -representation theory interpretations?

References

Thank you!

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